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ABSTRACT

This report emphasizes the need for the National Assessment of Educational Progress (NAEP) to integrate the use of technology into its assessment procedures. It reviews major options and suggests priorities to guide the integration. The paper identifies three short-term goals for this development: (1) a linear computer-administered assessment in a target subject area such as mathematics should be implemented; (2) a computer-administered writing assessment should be developed and implemented; and (3) the introduction and evaluation of technology-based test accommodations for handicapped students and English-language learners should be continued. The paper suggests that the NAEP consider redesign as an integrated electronic information system that would involve all aspects of the assessment process including assessment delivery, scoring and interpretation, development of assessment frameworks, specifications of population and samples, collection of data, and preparation and dissemination of results. (Contains 43 references.) (SLD)

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NAEP Validity Studies: Implications of Electronic Technology for the NAEP Assessment

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Implications of Electronic Technology for the NAEP Assessment

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Commissioned by the NAEP Validity Studies (NVS) Panel
August 2000

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The NAEP Validity Studies Panel was formed by the American Institutes for Research under contract with the National Center for Education Statistics. Points of view or opinions expressed in this paper do not necessarily represent the official positions of the U.S. Department of Education or the American Institutes for Research.

The NAEP Validity Studies (NVS) Panel was formed in 1995 to provide a technical review of NAEP plans and products and to identify technical concerns and promising techniques worthy of further study and research. The members of the panel have been charged with writing focused studies and issue papers on the most salient of the identified issues.

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Overview

The National Assessment of Educational Progress (NAEP) lives in a changing assessment world. Its credibility and utility as an assessment system requires that NAEP maintain the stability of its assessments so that they capture change in students' learning across years. In addition, in its role as a model for the state of the art in the assessment of educational achievement, NAEP must embrace new forms of assessment that are consistent with changing educational needs of students. Electronic technologies have relevance to both goals. The rapid spread and infusion of technologies such as computers, digital media, the Internet, video and audio recorders, and playback devices into every phase of contemporary life is affecting both the methods of learning and assessment and the content of what needs to be learned in schools.

However, embracing new technologies does not mean NAEP should rush to use every new technology in operational assessments. On the contrary, in its position of leadership, NAEP must thoroughly evaluate new technologies to address both validity and cost issues and introduce them to operational NAEP only when these issues have been addressed. Thorough evaluation studies are, themselves, costly in time and money, so it is important to identify and concentrate on technological innovations in NAEP assessments that build coherently on NAEP's existing mission and on the planning process for the future of the NAEP. These concerns have been acknowledged in deliberations on the redesign of NAEP commissioned by the National Assessment Governing Board (NAGB) (Forysth, Hambleton, Linn, Mislevy, and Yen, 1996), the National Academy of Education (NAE) Capstone Report on the evaluation of the NAEP state assessment system, *Assessment in Transition: Monitoring the Nation's Educational Progress* (Glaser, Linn, and Bohrnstedt, 1997), and the National Academy of Science (NAS) evaluation of NAEP, *Grading the Nation's Report Card*, (Pellegrino, Jones, and Mitchell, 1999).

The focus needs to be on how computer-administered NAEP assessments and electronic technology can help us better assess what students know and are capable of doing, and better help us inform the public on students' educational achievement. It is important that NAEP proceed in a timely manner to evaluate and recommend priorities on major electronic technology innovations in the near, intermediate, and far-term future. As part of this process, it will also be valuable to point out ways in which technological developments are having a broader impact on the design and implementation of assessment systems, and on the facilitation of classroom learning and instruction.

The purpose of this paper is to review major options NAEP faces regarding introduction of technology into the assessment and to review priorities that can guide this introduction.

Technological innovations for NAEP currently being considered fall into three broad categories:

1. Computer-based presentation of items and recording of responses on assessments of existing NAEP constructs

2. Extension of NAEP to assessment of new constructs, using technology

3. Computer enhancement of assessment processes other than presenting items to students and recording their responses

These categories lead to different requirements for research on the impact of innovations on NAEP validity. Thus, early identification of the highest priority innovations is important, so that validity research can be carried out prior to their introduction into NAEP operations.

NAEP and Electronic Technology: A Public Concern

In a background paper for the NAE Capstone Report, Eva Baker noted that the continuing public credibility of NAEP must build upon NAEP's incorporation of new technologies as integral components of the testing process (Baker, 1997). Arguably, NAEP is the nation's most visible assessment. As such it is a showcase for the nation's capability in the field of assessment. Baker posits that the public expects NAEP to be at the forefront of technology and to be a leader in the application of technology to assessment in all its systems. NAEP's use of technology needs to reflect the technology that surrounds and makes possible everyday life, but beyond this, NAEP should consider being a leader in the application of technology to testing in fulfilling its public mission. Nowhere would this visibility be more prominent than in the delivery of computerized assessments.

Computer-delivered assessments are already being implemented by the U.S. Department of Defense (Sands, Waters, and McBride, 1997) and major college and professional school admissions and placement programs (Cole, 1997). Their implementation in K–12 schooling assessment programs, e.g., at the state level, is close on the horizon in states such as Georgia and North Carolina. K–12 test publishers are actively pursuing computer deli ed assessments as well. In this climate, it is essential that NAEP keep pace and demonstrate to the public the widespread impact of computers and technology on delivery of its own assessments.

Technological innovations in educational assessment are needed. There is a widespread belief in the educational community that current multiple-choice forms of assessment are not representative of authentic tasks encountered by students as they learn. In particular, multiple-choice item types involving minimal contextualization of text and problem questions are viewed as inherently inadequate for assessing the complex reasoning and literacy skills specified in content and performance standards advocated by reformers. There is a belief that assessment exercises should include complex problems and learning materials that students encounter in effective instruction and that the performance of students on assessments should be measured on constructs appropriate to standards.

NAEP's decisions and strategies on how to incorporate computers and related technologies into its assessments should help the public better understand the implications of the reform movement. In particular, it should help shape the public's responsiveness to the costs and benefits of implementing effective reform, given psychometrically bounded standards of evidence regarding outcomes for students. Presently, NAEP is the only K–12 assessment system capable of addressing these questions at a nationally representative and cross-state level. NAEP's development of computer-delivered tests, new item types utilizing electronic technologies, and new scoring and reporting strategies aligned with reform goals will have a direct and major impact on the public's view of educational reform and its progress.

An important public policy issue that NAEP's use of technology can and must address is the fair testing of all students. Access to computers is greatly affected by the income level of families (Coley, Cradler, and Engel, 1997). Introduction of computer delivered NAEP assessments may aggravate public concern about access to computers across socioeconomic and racial/ethnic groups, and the fairness of computer-delivered tests. In addition, federal Civil Rights policies and education code specify that NAEP and other federally sponsored assessments must include all students in assessments (Olson and Goldstein, 1997).

The introduction of computer delivered NAEP assessments, along with associated multimedia tools, has the potential to address fairness concerns tied to inclusion. Students with disabilities or from non-English backgrounds who have previously been excluded from assessments may be able to participate meaningfully in a NAEP computerized assessment that offers accommodations tailored to overcome particular disabilities or lack of knowledge of English, when that knowledge is not central to constructs under assessment. NAEP is actively engaging in research on accommodations (Olson and Goldstein, 1997), and it is foreseeable that further introduction of technology into its assessments will continue and expand investigations of these concerns.

Changes in Use of Technology in Schooling: Implications for NAEP

Access to computers and related electronic technologies is accelerating in schools, but the implications of the use of these technologies in schools for the NAEP assessment system in the near term are inchoate and need careful monitoring. Some of these trends are cited by Viadero (1997) in a special issue of *Education Week* entitled "Technology Counts, Schools and Reform in the Information Age." For example, NCES data cited by *Education Week* indicate that the percentage of schools with access to the Internet jumped from 35 to 65 percent from 1994 to 1996, while access in individual classrooms jumped from 3 to 14 percent. NCES also found that frequency of computer use is rising. In 1994, for example, 24 percent of eleventh graders used a computer at least once a week, and these uses included math games, simulation and applications, and demonstrations of new topics in math.

Teachers' use of computers in instruction is currently very uneven. For example, NAEP 1996 teacher survey data indicated that 52 percent of eighth grade teachers

didn't use computers for math at all, while 18 percent used computers for drill and practice. Interestingly, NAEP 1994 teacher survey data indicate that fourth graders were more likely to use computers in subject matter learning of reading, history, and geography than eighth graders.

There is also evidence of racial/ethnic differences in access to computers. For example, among 3 to 17-year-olds, Black and Hispanic students have had less access to computers than white students. NAEP 1994 data indicated that 53 percent of Hispanics and 51 percent of Blacks had access to computers at school, as compared to 63 percent of whites. The gap was wider in home settings; 12 percent of Hispanics and 13 percent of Blacks had access to computers at home as compared to 36 percent of whites (U.S. Bureau of the Census, 1993).

These findings (see also U.S. Department of Commerce, 1999) suggest that despite the overall trend of increasing access to computers, immense variability in access remains. These survey data have value as national-level indicators of access to and use of computers. These and future data regarding differences in access offer important contextual information that should be carefully scrutinized as NAEP pursues new measures and item types responsive to changes in the role of electronic technology in the education of students from different backgrounds.

Shaping and Sharpening NAEP's Technology Agenda: A Construct Validity Centered Perspective

The NAEP assessment has contributed in an important manner to national awareness of what students know and should be able to do (Glaser et al., 1997). Measurement of basic skills and content knowledge in critical domains will remain central to NAEP for the foreseeable future. Assessment of these skills could be enhanced considerably by computer delivered NAEP through computerized display of richer textual and figural item content, or through display of dynamically changing information relevant to understanding an item.

But the possibilities of computer delivered assessments, as well as other technological enhancements to NAEP, can go beyond this and make possible improved assessments of more complex thinking skills and assessments of complex skills that have yet to be defined and measured. The NAE Capstone Report mentions a number of possible new assessment areas for NAEP that have this flavor and that could become extensions of existing assessments. Areas include enhanced assessment of:

4. Students' active demonstrations and applications of skill and knowledge

5. Problem-representation skills using student constructed graphs and figures

6. Problem solving strategies, based on analysis of students' response patterns while solving problems on a computer

7. Self-monitoring skills, such as checking of work while problem solving

8. Skill in explaining one's problem solving, such as through automated analysis of written explanations or terms entered into a computer

9. Skills in interpreting and synthesizing complex pieces of textual and figural information displayed on a computer

While students' constructed responses to new computer delivered item types will be an important source of assessment information, measures of reaction time during different portions of item presentation and student response activity may become an important new source of assessment information, which could help measure constructs associated with a range of cognitive skills and motivation.

Regardless of whether technological innovations are targeted at better assessing existing NAEP skill areas or new skill areas altogether, an assessment validity framework and associated validity research are essential. A central question in this endeavor is "Can we draw accurate inferences about students' performance on a computer administered assessment in a manner that reflects skills, problem solving ability, and knowledge of a target domain of learning identified by NAEP?"

Validity Issues for Technological Assessment Innovations

Regardless of how introduction of new technologies in NAEP assessments arises, there are a number of prominent validity research questions that will surface. These are questions that have been of increasing prominence in the field of computerized testing as a whole (Bennett, Steffen, Singley, Morley, and Jacquemin, 1997; Green, 1998; Segall, 1998). While questions have arisen in the context of computerized testing, they apply more broadly to introduction of electronic technologies into testing. Questions relevant to a broad range of technology-based changes to NAEP might include:

- Comparability across forms: Do different forms of the same computerized (or technologically mediated tests) measure the same constructs and have the same psychometric properties?

- Standardization: What are the critical computer interface components that need standardization across assessments and platforms?

- Differential familiarity: How does examinee familiarity and comfort with technologies used in new assessments affect performance and measurement characteristics of assessments?

- Practice effects: How do instructions and practice affect examinees' readiness for technology based assessments?

- Effects on test-taking strategies: Does constraining examinee options to review or omit items in technology based assessments affect performance and the measurement characteristics of assessments?

Each of the foregoing question areas has relevance for examinees regardless of their background, yet each question area has special value in examining the fairness of new technology-based assessments for students from backgrounds that are associated with low school performance.

Shaping and Sharpening NAEP's Technology Agenda: Identifying Priorities

Because the validity of assessment results has been shown to be sensitive to a wide variety of factors, it is essential to ensure the continuing validity of NAEP after introduction of each technological innovation by completing research studies *before* the innovation is introduced. The program of research that would be required to explore all of the innovations that have recently been proposed would be prohibitively expensive, so priorities are needed. Studies can then focus on high priority options before others.

There are three major considerations in determining priorities:

10. Does the innovation address a critical need?

11. How great is the probability of success of the innovation?

12. What are the operational cost implications?

For example, among the most critical needs for NAEP is the development of methods for incorporating testing accommodations that will allow increased participation of special student populations such as students with disabilities and English language learners into NAEP. Technological innovations which might solve accommodation problems should be considered a high priority.

Attending to the second consideration will help to avoid proceeding down dead-end paths. For example, among alternative innovations, those that are based on use of a technology that is stable across assessment contexts should be given higher priority, when other factors are equal. The third consideration is necessary because there are severe constraints on the level of investment that can be made in a national assessment. Organizations which have attempted to move into computerized testing have found that not all operational costs are readily apparent in the initial design phase.

Determining the critical needs of NAEP is a complex task involving many players. In particular, priorities will be affected by measurement policy objectives set by the National Assessment Governing Board (NAGB) in consultation with the National Center for Education Statistics (NCES) and relevant constituencies. Inputs from a

variety of perspectives will help to ensure that the priorities reflect analysis of costs, system redesign implications, and time implementation goals.

Reports from the NAGB Design/Feasibility Team, the NAE Capstone Report, and the NAS NAEP evaluation concur that there are numerous ways that technological innovations might improve NAEP and the achievement constructs it measures. Implementation of computer administered NAEP assessments for the purposes of improving assessment of important learning achievement constructs is a high priority and includes the possibility that introduction of computerized NAEP assessments would be coupled with other uses of technology to develop and score items presented via a computer.

In 1996 NCES awarded a series of small grants to individuals and organizations interested in contributing to the dialogue on the NAEP redesign. Working under one of these grants, the current NAEP contractors—the Educational Testing Service (ETS), National Computer Systems (NCS), and Westat—collaborated to produce a proposal for an integrated redesign of NAEP (Johnson, Lazar, and O'Sullivan, 1997). Their report included several specific suggestions for the near-term introduction of technology into the NAEP program, thereby moving the dialogue forward beyond any previous published position. Figure 1 shows the priorities for introducing technology into NAEP assessments that are reflected in the ETS/NCS/Westat redesign report, the NAE Capstone Report, and NCES priorities in assessment accommodations research. Innovations are divided into "highest priority" and "other high priorities," within the broad categories of actually conducting assessments of (1) existing, (2) new constructs, and (3) supporting other technological enhancements of the assessment process. While research priorities need to be set, it is not necessarily the case that only priorities that are the highest for the existing NAEP ought to determine what immediate research and development occurs. Designing and planning extensions to the existing NAEP that utilizes technology also need prioritization in the near term if NAEP is to expand its coverage of constructs in tune with students' increasing reliance on computers in day-to-day instruction (Pellegrino, et al., 1999).

Assessment of Existing NAEP Constructs, Using Computers for Item Presentation and Response Recording

NAEP's introduction of computer administered assessments should stem from decisions about which target assessment areas are in need most of improved coverage or which assessment areas would demonstrate the advantages of computer administration in ensuring score accuracy and quality of information derived from an assessment. NAEP has multiple measurement targets, and computer administered assessments could help improve inferences about student achievement in either broad domains of knowledge or with regard to specific skills and knowledge. The two prominent options examined by the ETS/NCS/Westat redesign team (Johnson et al., 1997) include:

- Computer administered, non adaptive assessments that assess skills not amenable to pencil-and-paper tests or introduce efficiencies

- Computer adaptive tests that produce NAEP scale scores

Under the first option, a computer presents items to students in a single predetermined order, but with potential improvements in presenting textual or figural information and recording responses. These enhancements could improve an assessment's coverage of existing achievement constructs, if research confirms that they do not compromise those constructs.

Figure 1—
Possible NAEP Priority Areas for the Introduction of New Technologies into Assessments

Use of Technology	Highest Priorities for NAEP	Other High Priorities for Extending NAEP
Assessment of existing NAEP constructs by computer	Implementation of computer based testing (CBT) in a core area, such as math or science Computer- and multimedia-based accommodations for students with special sensory, response, and timing needs	Implementation of computer adaptive testing (CAT) Accommodation by broadening the skill range of test items.
Extension of NAEP to the assessment of new constructs, using computers	A demonstration of innovative computerized assessment of a skill area in which computer use is a significant component For example, assessment of writing using word-processing	CBT in new domains of school learning, such as the use of the Internet for research New assessment strategies that make use of technology: • conditional test items, to probe proficiency in content, skill, and problem solving areas, such as scientific inquiry • integrated assessment and instruction • audio computer assisted self-interviewing in new and existing construct areas

Use of Technology	Highest Priorities for NAEP	Other High Priorities for Extending NAEP
Other technological enhancements of assessment processes	Computerized item development Computerized scoring of responses on existing assessments against new constructs Use of Internet and multimedia technologies to disseminate reports	Computerized delivery of assessment materials to schools with possible further local processing of response data Internet and wireless item presentation Use of technology and the Internet to permit on-line construction of custom data reports On line electronic forums for the discussion and interpretation of NAEP results Redesign of NAEP assessments as integrated electronic information systems

The second option computer adaptive testing (CAT) has the same potential for improvements, but differs from the first in that each examinee's ability in a construct area is provisionally estimated at several points during the test, based on computerized scoring of responses to items already presented. The record of items and estimates of ability based on previous responses are used to select items to be presented subsequently, improving the accuracy of the estimate of an examinee's underlying achievement level. This procedure is both statistically and practically efficient, in that examinees' achievement levels are assessed with greater accuracy across levels and with fewer items than is possible on a linear test. In the extreme case, provisional estimates and item selections are made after each item; however, a less radical departure from traditional (linear) testing might involve scoring a "pretest" of several items to decide which of several alternative forms to administer during the remainder of the test session.

A NAEP CAT assessment in a target assessment area could yield individual examinee scores with acceptable accuracy for reporting, something that is not possible now given the matrix sampling design of NAEP forms and the length of NAEP tests. Further, NAEP CAT assessments would not need to rely on plausible values imputation of NAEP scores, obviating the misperception that examinee background variables are inferred by NAEP to be sufficient to accurately explain students' achievement level.

Recommendation of the ETS/NCS/Westat Redesign Team: CBT Pilot in Math and Science

After reviewing various arguments for and against implementation of a computer adaptive NAEP versus a linear computer delivered NAEP, the ETS/NCS/Westat redesign team recommended against immediate implementation of a NAEP CAT (Johnson et al., 1997).

The arguments against immediate implementation of a NAEP CAT are substantial. First and foremost, NAEP is not designed to be a precise individual student assessment, but rather an assessment of how well students in aggregate perform on tasks systematically sampled from target achievement domains. Because the greatest advantage of CAT is in the efficient diagnosis of individual abilities, a CAT assessment is not particularly well-suited for NAEP. A second concern relates to the size of the item pool needed for CAT. Because the focus of NAEP is more on representative achievement in curricular domains than on individual student differences, there is always continuing pressure for assessments to include more items representative of the breadth and depth of domains and to include sufficient numbers of items to ensure reliability of average proficiency estimates. The feasibility of rapidly developing item pools with known psychometric parameters of sufficient size for use in adaptive tests is not clear.

The ETS/NCS/Westat redesign team was more sanguine regarding development of a NAEP computer-based test involving a fixed linear presentation of test items on a computer. They recommended that such a test be developed in the near term (within the next two years) and

> ...that as a first step NAEP develop an explicit set of criteria for evaluating the potential uses of computerized testing in NAEP and then use these criteria to identify a small number of promising opportunities (Johnson et al., 1997, p. 4-41).

The team concluded by recommending that (pp. 4-41–4-42):

> ...NAEP commit to use one CBT pilot module with either science or mathematics assessment by the year 2000. This module should be experimental, and would likely involve the use of response protocols with students. The results of this study would give NAEP valuable information on equity and feasibility issues, and would help point the direction for future efforts. We strongly believe that the initial uses of CBT in NAEP should be to measure outcomes not easily accessed through pencil-and-paper testing, and that the program's short-term goal should not be to use CATs to generate the domain scales used in primary NAEP reporting.

Perhaps the most urgently needed enhancements of NAEP which computer administration of the assessment could provide are accommodations for students with special assessment needs. Both presentation of items and recording of responses could potentially be implemented more efficiently by computer rather than one-on-one administrations by trained administrators. The flexibility added when

paper and pencil are left behind offers many new avenues for accommodation. In particular, whether by computer or in some other manner, NAEP needs to expand the range of performance it measures, challenging the most proficient students while not frustrating less prepared students. If choices between easier and harder versions of the assessment can be made based on performance on responses to initial items, then precision of estimates need not be sacrificed when accommodating the broader range of proficiency.

Validity Issues for Computerized Assessment of Existing Constructs

In addition to the overall validity issues for all technological enhancements of NAEP, four validity questions are particularly important when computerized assessments are implemented for existing NAEP constructs.

1. Does computer-based presentation of items and recording of responses assess the constructs that are specified in the framework?

   Currently, NAEP content domain frameworks are specified without reference to the mode of assessment. If IRT scaling of computer-based items leads to different scale constructions than the paper-and-pencil presentation, then a careful study of the differences in metacognitive (test-taking) skills involved in different testing modes is essential in order to relate the results to existing frameworks.

2. Will the trend be accurate?

   Continued assessment of the same constructs provides the basis for measuring the educational progress of the nation over time. Changing the mode of assessment cannot be allowed to undermine that capability. This applies both to mean proficiency levels and to correlations with background measures. For example, if the minority gap widens, we want to be sure that that is not an artifact of changing from one form of test administration to another. There may be fundamental differences that cannot be directly overcome; however, based on proper research, it may be possible to determine a score adjustment that validates the trend.

3. Are differences between groups affected by computer-based administration?

   Even if the trend can be approximately validated only, it is important that differences between groups, for example in mathematics performance, reflect differences in knowledge and skills in the curricular content area, not differences in interactions with a computer-based test administration.

4. Does a computer-based accommodation for an assessment disability alter the construct being assessed?

   Like all accommodations, computer-based accommodations raise the

question of whether as a result of the accommodation, an essential part of the skill being assessed is omitted. For example, a "clickable" glossary may be fine for some assessments but not for others.

Extension of NAEP to the Assessment of New Constructs, Using Computers

While the ETS/NCS/Westat redesign team was clear in recommending science or mathematics as target achievement areas for a CBT pilot, no advice was generated regarding ways in which the construct coverage of a CBT might merge with strategies to improve NAEP score reporting or modularization to hone construct coverage—areas that were treated in other sections of the redesign proposal. This issue deserves systematic examination in laying out a research agenda for computer delivered NAEP assessments.

The rapid evolution of technology, coupled with effective innovations in what is taught in schools, opens a wide variety of needs and opportunities for new NAEP assessments; and although new assessments need not be concerned with comparisons of performance levels to prior assessments, they require careful development. Although a variety of possibilities call for study, one area currently stands out as a priority: the assessment of proficiency in the new skills of writing that arise when students use computers for word-processing.

Priority of a Computer Administered Writing Test

While not discussed in the ETS/NCS/Westat redesign report or the NAE Capstone Report, the delivery of a computerized writing assessment has emerged as a high priority issue. Recent data suggest there is growing, widespread use of computers in schools for word processing. 1994 NAEP data (cited by Coley, et al., 1997) indicated that the percentage of students using computers at home or at school to write stories or papers grew in importance over the fourth (68 percent), eighth (82 percent), and eleventh grade (87 percent). The eleventh grade figure represented the most frequent use for computers identified by students. College Board data for 1996 (cited by Coley, et al.) indicated that 72 percent of SAT Program test takers reported previous experience in using computers for word processing. NAEP's introduction of a computer delivered writing assessment would resonate with this changeover and could be coupled with introduction of automated or partially-automated essay scoring technologies (Burstein, Kukich, Wolff, Lu, and Chodorow, 1998).

While there is a dearth of research, evidence has begun to emerge that traditional pencil-and-paper assessment of writing may underestimate students' writing ability compared to a computer administered writing assessment. Russell and Haney (1997) found that sixth- to eighth-grade students randomly assigned to a pencil-and-paper writing assessment or to a computer writing assessment performed significantly better on the latter using a 4-point wholistic scoring rubric. (Prior to scoring, the handwritten essays had been transferred onto a computer and randomly intermixed

with essays that were originally entered onto a computer so as to ensure that scoring was blind.) Russell and Haney (1997) conclude:

> Increasingly, schools are encouraging students to use computers in their writing. As result, it is likely that increasing numbers of students are growing accustomed to writing on computers. Nevertheless, large scale assessments of writing, at state, national, and even international levels, are attempting to estimate students' writing skills by having them use pencil-and-paper. Our results, if generalizable, suggest that for students accustomed to writing on a computer for only a year or two, such estimates of student writing abilities based on responses written by hand may be substantial underestimates of their abilities to write when using a computer.

Thus, while NAEP has assessed writing in the past, the skill set in writing extended text with paper and pencil may gradually become obsolete, to be replaced by a skill set which makes use of technology to produce higher quality documents than would previously have been expected of students. To maintain its relevance to educational progress, NAEP must develop an assessment of the new construct of writing.

Potential for Assessing New Modes of Learning and Problem-Solving

In addition to developing measures of new problem solving skills tied to existing assessments, NAEP should monitor research progress on altogether new forms of assessment that pertain to 1) new domains of school learning, 2) on-line computerized diagnostic assessment of student learning, and 3) use of computers to simultaneously assess and tutor learning skills and subject matter content.

While computers and multi-media electronic technologies have been available in schools for more than four decades, they generally have not had a visible and dramatic impact on what students are expected to know and do in school. More recently, constructivist-based explorations have arisen of how technology might transform schooling in a manner attuned to the most lofty goals of the current education reform (Viadero, 1997).

Dede (1996) foregrounds the importance of collaboration through electronic media and characterizes these practices as "distributed learning." He notes three forms of distributed learning that are emerging (p. 3):

- Knowledge webs that complement teachers, texts, libraries, and archives as sources of information
- Interactions in virtual communities that complement face-to-face relationships in classrooms
- Immersive experiences in shared synthetic environments that extend learning-by-doing in real world settings

A number of efforts are underway nationally to explore radical transformations of education involving one or more of the foregoing themes (see Dede, 1996 for a collection of non-technical papers). One of the most cited and best researched programs of this sort, known as *Schools for Thought*, has been implemented by The Cognition and Technology Group at Vanderbilt (Williams, et al., 1998). *Schools for Thought* features four elements:

- Use of video, computer, and internet technology to bring information about complex problems into the classroom and to provide resources for problem solving
- Interaction among students and with others through video and interactive computer resources
- Use of electronic tools such as computer-based simulations to probe students' understanding and to assess students' learning while also providing feedback to students
- Use of technology to support collaborations among students, teachers, and community

Evaluation studies of the "Jasper Series," one of the components of *Schools for Thought*, have shown statistically significant cognitive outcomes and improvements in student attitudes towards math and science relative to control group students not exposed to the program (Goldman, Pellegrino, and Bransford, 1994).

One of the most common features among the constructivist approaches that utilize multi-media technologies and computers is student research projects. Investigators such as Guzdial (1998) assert that student research projects are effective for learning when they follow a process model for inquiry, guided by the following steps: initial statement of a problem and solution; decomposition into subproblems; composition of solution elements; debugging of a full solution; and final review of learning. In a similar manner, Krajcik, Soloway, Blumenfeld, and Marx (1998) emphasize students' active awareness of how they undertake a project and the role of collaboration among students in carrying out projects.

Windschitl (1998) sees three different areas of learning, centered on the World Wide Web, which complement the foregoing. These include: students' learning through interaction with the Web; students' inquiry processes and searching of the Web; and students' communication with others through the Internet Windschitl argues that each of these areas is in direct need of research and that we lack a coherent knowledge base by which to evaluate the impact of technology on learning. He asserts that qualitative research will play an important role in this undertaking.

Some possible themes that are emerging from the cognitive science research approaches relevant to NAEP's consideration of new assessments include:

- Interpersonal cooperation in problem solving
- Screening and prioritization of information obtained from the Internet that is needed to solve problems
- Use of information-rich multi-media presentation of problems where students can review, replay, and search problem representations to support problem solving

Areas such as these (see O'Neil, Chung, and Brown, 1995; O'Neil, Klein, and Baker, 1997) will require much research before they can become meaningful targets for an assessment such as NAEP. This will take time, and NAEP needs to act now to prepare for assessments of the future.

One suggestion toward pursuing these goals is for NAEP to re-invent itself by adding "Multiple-Methods NAEP" to its existing core assessments (Pellegrino, et al., 1999). New assessment methods associated with the "Multiple-Methods NAEP" could be used to explore and extend the constructs assessed by NAEP through innovative uses of technology, supplementing and enriching the existing core NAEP. New assessment strategies might include integrating assessment with instruction, as well as conditional probes which track a student's search process to assess metacognition and problem-solving strategies.

Validity Issues for New Constructs

Three validity issues arise when NAEP introduces assessment of new constructs. These apply particularly in the case of new assessment areas involving technology-related skills, because the nature of what is to be learned for these constructs has not had the time to undergo thorough study.

1. Does the new construct have a coherent assessment framework?

   Existing NAEP constructs are based on well-developed (although not unchanging) curricular content standards. Developing similar standards in emerging areas with which most of today's teachers are inexperienced presents an important challenge.

2. Does the new construct have valid performance expectations (achievement levels)?

   The development of achievement levels, or performance standards, requires complex judgments; and methods for establishing these standards have been developed for traditional paper-and-pencil tests. Development of standards for new types of exercises, in areas in which performance expectations have not had time to develop, will require substantial efforts.

3. Is there a consensus that the new construct is central to American education, in the sense that information about student population performance on the construct is useful for educational policymaking?

   The lack of formal curriculum frameworks for teaching elementary and secondary students the skills of cooperative problem-solving, complex problem simulations, and Internet use suggests the need for developing a national consensus that such areas should be included in the Nation's Report Card.

Technological Enhancements of Assessment Processes Other than Computer Presentation of Items and Recording of Responses

Although computerizing the interface between the assessment and individual student participants offers exciting opportunities and complex challenges, other technological innovations also warrant attention. These include 1) both non-computer enhancements to the interface with students and 2) computer enhancements of components of the assessment other than the actual presentation of items and recording of responses. In particular, the following six topics warrant study. The first four of these stand out as high priorities consistent with advice offered by Baker (1997) in her Capstone Report working paper on NAEP and technology and by others such as Bejar (1991). These four, it should be pointed out, do not require that a NAEP assessment be delivered on a computer.

1. *Assessment presentation accommodations*. For example, use of audio tapes to eliminate extraneous English reading factors for students with dyslexia or limited English reading proficiency does not require computers.

2. *Computer automated item/exercise generation*. This might be possible based on algorithms targeting desired item/exercise construct characteristics—Bejar (1991) and others have explored strategies to "recombine" existing items so as to create new items observing test specifications for item types based on analogical pattern matching rules. Singley and Bennett (1998) describe implementation of a Math Test Creation Assistant that utilizes cognitive schema theory to automatically generate alternative mathematics word problems that assess the same mathematical concept and performance skills.

3. *Computer scoring of extended open-ended responses.* Systematic classification schemes for extended open-ended responses, based on cognitive theories of conceptual development and problem solving, could be computerized for use in item scoring, score reporting, and score interpretation. Tatsuoka (1993), describes "rule space" models which automate interpretation of patterns of correct-incorrect responses to mathematics items in terms of cognitive models of the mathematical competencies of examinees. Bennett et al. (1997) evaluated a model for automated scoring of open-ended mathematical reasoning exercises.

4. *Multimedia presentation of NAEP results.* The NAEP 1997 Arts Report Card for Eighth Graders (Persky, Sandene, and Askew, 1998) is available on the World Wide Web as well as in printed paper format. A CD-ROM version is available that includes the complete text of the report as well as many multimedia clips of student responses to assessment exercises. The multi-media format of this report represents a major advance in NCES capacity to utilize technology in dissemination of NAEP results.

5. *Web delivered and wireless NAEP assessments.* Progress is being made in the delivery of assessments via the World Wide Web (McNichols, 1998). Use of programming languages, such as Java, permits uniform graphic representation of testing exercises across computer platforms. A centralized or regional computer server could be used to both administer new or existing NAEP exercises and store assessment data for scoring and further processing. Existing wireless Internet technologies could also be used to communicate assessment information to examinees' computer stations by means of radio signals. Maintaining security and integrity of assessment information delivered via the Web and wireless technologies would be a central concern. However, current computer encryption technologies could ameliorate this concern.

6. *Electronic transmission of traditional assessment materials.* Even without a computer interface with each student, NAEP might opt for secure electronic transmission of assessment materials to local schools for paper reproduction, or for teacher background surveys. Completed booklets might also be scanned at the school for digital transmission of student responses.

Redesign of NAEP Assessments as an Integrated Electronic Information System

A coherent approach to technological innovation will ultimately be more effective if built on an integrated plan. Rapid progress is being made by the Defense Department in its Armed Service Vocational Aptitude Battery (ASVAB) re-norming work and by

test developers such as Educational Testing Service in designing assessments as integrated electronic information systems. Bennett (1994) provides a helpful overview of key elements and their interaction in constructing an "electronic infrastructure" for new generations of computerized tests. He envisions a complex multi-organizational testing system connected and sharing information electronically. Each subsystem in the network performs essential tasks, much as is the case now with major testing programs, but the capacity to share and disseminate information is made immediate via electronic networking. Further, with planning, the testing system builds new capacities to interact with examinees and provides potential connections to information resources aiding examinees and schools in better preparing students.

The NAEP item and exercise development process might use technology strategically, and in a widespread manner, in devising certain assessments. Within ETS this strategy is exemplified by systems such as the "Test Creation Assistant" (Singley and Bennett, 1998). A more ambitious strategy would be to design and implement a system of integrated, computerized assessment development, delivery, and skill estimation tools based on cognitive models of competence in a problem solving domain. This approach is exemplified by the "Portal" project undertaken by Mislevy, Steinberg, Almond, and Johnson, 1998. A major strength of this approach is that it develops assessment task models based on expert judgments of competence in a problem solving domain. The task models lead to careful specification of the forms of evidence in students' performance on tasks that support inferences about students' competence in the target problem solving domain as represented by a separate student model.

While the complete redesign of the NAEP assessment as an integrated electronic information system would involve assessment delivery, as well as the scoring and score interpretation processes, it would also affect many other areas. These include development of the assessment frameworks, standards, and exercises; specification of the population and samples; collection of the data; analysis of student, school, and teacher surveys; preparation and dissemination of NAEP reports; dissemination of NAEP released exercises; and dissemination of public use data.

Important technology and infrastructure issues need examination across all of these possibilities, but these issues need to be addressed in the context of concrete implementation plans. For example, what kinds of electronic interfaces with NAEP public use data will be optimal in the future for educational policymakers and researchers? NAEP and the U.S. Department of Education have begun to make pre-compiled data and many summary reports and tables available on the World Wide Web, but they have yet to introduce on-line data analysis engines to produce summaries on demand. This example illustrates the promise and hazards of technology, and the complexity of problems to be faced in making NAEP a leader in use of technology. Thus, how will NAEP ensure that users understand the structure and limitations of NAEP data as users interact with on-line data directly? Availability of public use guidelines on the Internet may help, but what additional safeguards might be in order?

While the foregoing are important and fundamental potential enhancements of NAEP to its technology infrastructure for the long term, they call for careful research to address validity issues.

Validity Issues

Each of the proposed enhancements involves changes to NAEP, and it is important for the integrity of NAEP that the validity of NAEP not be reduced by those changes. The following exemplify the kinds of validity research questions that arise.

Media accommodations. Do the accommodations change the construct assessed?

Although audiotape has been used in NAEP for thirty years, their use as a method of accommodation has not been well-studied. The range of issues with respect to the validity of scores on accommodated tests must be addressed for this method of accommodation as well.

Automated item development. Are items equivalent?

Generating replicates of items that superficially assess the same skill domain does not provide any assurance that the replicates have the same difficulty or the same discriminability.

Internet background questionnaire administration. Are responses to computer/Internet administered surveys equivalent to paper-and-pencil surveys?

Teachers and principals may have a different tendency to omit items or to select particular response options when items are presented in a different format.

Automated scoring of open-ended responses. Do rubrics used in automated scoring assess the skills specified in the framework?

There may be a tendency to specify rubrics with simpler scoring rules, and there may be a tendency to misinterpret unusual correct responses.

Recommendations and Summary

Based on the review provided here, NAEP should place immediate priority on introducing computerized assessments that enhance its existing assessment priorities. Three high priority options stand out that deserve careful consideration for immediate research and implementation:

- Implementation of a linear computer administered NAEP in a target subject matter area such as mathematics or science
- Development and implementation of a computer administered writing assessment
- Continued introduction and evaluation of technology-based testing accommodations to include students with disabilities and students who are English learners.

Presumably, pursuit of these three alternatives would be benchmarked by a full field trial as a first initiative that would inform and guide the feasibility of a full implementation. Some of the issues for such a field trial are discussed later in this section.

Choosing among these priorities (or others that may arise) is inherently a policy decision informed by an analysis of cost-benefits and validity concerns. Successful implementation of any of the three priorities outlined above would accomplish the end of making NAEP a national leader in the application of technology to improved assessment. Each option would offer NAEP the opportunity to enhance its coverage of assessment constructs that are at the center of education reform.

While each option could be pursued without the other, it may be possible that implementation of a linear computer administered (CBT) NAEP could be yoked to eventual introduction of a CAT NAEP in the same assessment area. Implementation of a CBT NAEP first would give NAEP more time in which to develop a larger item pool for a CAT NAEP assessment and to address the research questions noted below.

Operational implementation of a computer-based assessment would need to grapple with the problem of providing examinees with adequate and timely access to computer testing stations. More important, introduction of computerized NAEP assessments must be preceded by a carefully planned set of research studies to investigate the scaling of scores on computerized versus non-computerized assessments, to evaluate the effects of computerized versus pencil-and-paper assessment administration on the performance of different groups of students, and to record the effects of prior computer experience on performance.

The introduction of a computerized NAEP writing assessment which assesses competence in using computer word processing tools is attractive because it resonates with the increasing importance of word processing in students' academic and non-academic lives. A computerized NAEP writing assessment could also be coupled with a research program to automate or semi-automate the scoring of writing samples. In addition, it could be coupled with research on new ways to assess complex reasoning skills such as those identified in the NAE Capstone Report (Glaser et al., 1997). A computerized writing assessment would entail some of the same logistical problems as a computerized assessment of an existing construct in providing students with appropriate access to computers. It would also entail validity research to ensure comparability of performances across key groups of students with differential exposure to computers; and it would require development of coherent content and performance standards for the new construct.

Pursuit of computer-based testing priorities needs to be coupled with careful examination of the fuller implications of technology for NAEP. Many important technological innovations are possible within the existing NAEP pencil-and-paper system, including computerized test development, scoring, and score reporting of NAEP results. As part of its deliberations on redesign, NAEP should begin planned initiatives to examine how technology should be infused throughout its infrastructure.

Design of a Computerized NAEP Field Trial

It is easy to recommend that NAEP should immediately undertake design and implementation of a field trial of a computer delivered linear assessment in reading, mathematics, or writing; however, the design of that field trial is complex and must be carefully developed to avoid failure at various points. The expectations for outcomes of an initial field trial should be fairly limited, as the field trial should be intended primarily to give NAEP an experience base appropriate for guiding a more intensive effort in implementing computerized assessments. Conceivably, more than one field trial would be helpful. An initial field trial would be a "proof of concept." It would enable NAEP to assess the logistic capabilities needed for a computerized assessment and to evaluate the requisite test design and psychometric procedures for a computerized assessment. There are many decisions to be made and procedures to be developed for an initial field trial of a computerized assessment. The four listed below are particularly important.

Selection of an Assessment

The selection of an assessment for a computerized field trial will need to take into account at least two issues. One is the pay-off that development of a computerized assessment in the initial assessment area would have for implementation of computerized assessments in other areas. In this regard, initial implementation of a computerized assessment in reading or mathematics would be preferable as these assessments are more like each other and other NAEP assessments than would be the case for writing—the latter being limited to constructed responses to one or more writing prompts, while most other NAEP assessments involve a mixture of multiple-choice and short-answer questions.

It is possible that the initial field trial of a linear computer based assessment in reading or mathematics would be part of a process leading to a computer adaptive assessment. If one of these areas was selected for a field trial, a second factor would be the feasibility of rapidly developing an adequately sized item pool for a computerized adaptive test. It is not clear, however, how such a pool would need to grow in size in order to accommodate an adaptive test, even if we presume that most computerized versions of pencil-and-paper items would retain desirable psychometric characteristics.

Choice of a Sample

Because so many new variables are present, investment in a particular strategy should not be sufficiently large that needs for starting over would be disastrous for the program. Therefore, an initial computerized NAEP field trial should involve a limited number of students, perhaps no more than several hundred students. The sample should represent students from diverse backgrounds and demographic settings, but it also needs to be drawn as part of a formal stratified sampling plan in order to support meaningful comparisons.

Computer Delivery

Computers used in delivery of an assessment should be a standardized laptop or desktop platform with standardized monitors and keyboards. Nevertheless, variations should be anticipated and steps should be taken to learn from the impact of variations on assessment outcomes.

Internet Delivery

Use of the Internet to deliver assessments would be valuable to investigate early on. Internet presentation of assessments would ensure considerable standardization in the appearance of assessment items and response formats akin to administration via an assessment stored on local computer or network servers. Such a strategy would improve access of students to assessments on a more flexible schedule and would enable immediate centralized recording of performance data at a remote site.

Computer Experience and Accommodations

Implementation of a field trial should be coupled with a survey of participants' prior exposure to computers and their reactions to participation in a computerized NAEP assessment. This information will be valuable in exploring whether a computerized NAEP assessment will be accepted by students, and it will provide initial evidence of potential biases in assessment performance tied to student characteristics. In addition, the field trial could explore the use of selected assessment accommodations for students with disabilities and limited English familiarity. These accommodations, as appropriate to a population, might include increasing length of assessment time, use of primary language dictionaries for English language terms, etc.

A clear momentum has evolved for NAEP to undertake careful steps leading to systematic integration of technology into its activities. The work cited in this report suggests that NAEP needs to act in a timely manner in implementing a field trial of a computer delivered NAEP. Furthermore, NAEP needs to couple this effort with a continued effort to examine ways that technology can impact its functioning across all of its systems. Finally, NAEP needs to carry out research on validity issues to ensure that technological innovations do not threaten the validity of the Nation's Report Card.

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